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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/775,885	02/09/2004	Thomas M. Seidita	HWR-0102DIV	1424

21302 7590 06/04/2007  
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PHILADELPHIA, PA 19103

EXAMINER
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NGO, LIEN M

ART UNIT	PAPER NUMBER
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3754

MAIL DATE	DELIVERY MODE
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06/04/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/775,885

Applicant(s)

SEIDITA, THOMAS M.

Examiner

LIEN TM NGO

Art Unit

3754

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 06 April 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-23 are rejected under 35 U.S.C. 102(b) as being anticipated by Ochs (4,813,561) or German et al. (6,276,543).

Ochs discloses, in figs. 3 and 4, a tamper evident closure, comprising: a body portion comprising a base and an internally threaded downwardly depending sidewall portion; and a tamper evident band 12 frangibly connected to said sidewall portion, said tamper evident band comprising: a main band portion, and a J-hook retention member 21 that includes a plurality of pleated retaining elements, and wherein each of said retaining elements has an upper portion including at least one inwardly directed pleat fold, said upper portion being constructed and arranged to engage retention structure of a container in order to prevent upward movement of said tamper evident band with respect to the container, and wherein at least one of the pleated retaining elements is further constructed and arranged to engage the container so as to resist rotation with respect to the container, whereby separation of said tamper evident band from said body portion is better assured when the closure is unscrewed from the container. The retaining members comprise a leading edge and a trailing edge

(see fig. 4); wherein the trailing edge has an abutment surface being parallel to a radius of the closure.

German et al. disclose, in figs. 4 and 5, a tamper evident closure, comprising: a body portion comprising a base and an internally threaded downwardly depending sidewall portion; and a tamper evident band 35 frangibly connected to said sidewall portion, said tamper evident band comprising: a main band portion, and a J-hook retention member 37 that includes a plurality of pleated retaining elements, and wherein each of said retaining elements has an upper portion including at least one inwardly directed pleat fold, said upper portion being constructed and arranged to engage retention structure of a container in order to prevent upward movement of said tamper evident band with respect to the container, and wherein at least one of the pleated retaining elements is further constructed and arranged to engage the container so as to resist rotation with respect to the container, whereby separation of said tamper evident band from said body portion is better assured when the closure is unscrewed from the container. The retaining members comprise a leading edge and a trailing edge (see fig. 5 A&B); wherein the trailing edge has an abutment surface being parallel to a radius of the closure.

### ***Response to Arguments***

3. Applicant's arguments filed 4/6/07 have been fully considered but they are not persuasive.

Applicant argues that Ochs does not disclose a J-hook retention member including a plurality of pleated retaining elements, and a pleated retaining element having at least one outwardly directed fold and at least one inwardly directed fold. However, that is not found convincing because Ochs discloses, in fig. 5, the pleated retaining element 21 being resembling a fold, as admitted by applicant in the remark (a pleat is something resembling a fold), and the retaining element 21 having at least one outwardly directed fold and at least one inwardly.

Applicant argues that German et al. do not disclose a J-hook retention member including a plurality of pleated retaining elements, and a pleated retaining element having at least one outwardly directed fold and at least one inwardly directed fold. However, that is not found convincing because German et al. disclose, in figs. 4 and 5, the pleated retaining element 37 being resembling a fold, as admitted by applicant in the remark (a pleat is something resembling a fold), and the retaining element 37 having at least one outwardly directed fold and at least one inwardly.

### ***Conclusion***

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to LIEN TM NGO whose telephone number is 571-272-4545. The examiner can normally be reached on Monday through Friday from 8:30 AM -6:00 PM.

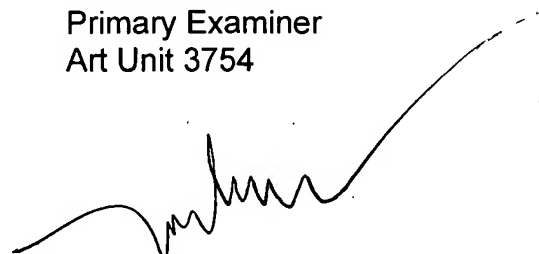
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, KEVIN SHAVER can be reached on 571-272-4720. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

LIEN TM NGO  
Primary Examiner  
Art Unit 3754

May 31, 2007

A handwritten signature in black ink, appearing to read 'Lien TM Ngo', is written over the printed name and title.